

Greater Cincinnari Mutual Funds Association

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GCMFA Annual Meeting & Dinner Program

Thursday, December 4, 2014

The University Club of Cincinnati 401 E. 4th Street, Cincinnati, Ohio, 45202

Parking is available in the Guilford School Lot after 5:00 pm, located on 4th St. just east of the Club. Overflow parking is provided in the Western & Southern garage.

Join us at the GCMFA Annual Dinner for an enlightening presentation by

Andrew Bowden



Director U. S. Securities and Exchange Commission Office of Compliance Inspections and Examinations

The evening will begin with a **reception at 5:00 p.m.** and a Sponsor's Raffle followed by dinner and GCMFA annual meeting, then our keynote speaker.

Drew Bowden was appointed by Chair Mary Jo White as the Director of the Office of Compliance Inspections and Examinations (OCIE) in June 2013. He joined the SEC in November 2011 and initially served as the National Associate for the Investment Adviser and Investment Company Examination Program. In September 2012, Mr. Bowden was named Deputy Director of OCIE.

Mr. Bowden began his career as a trial attorney and partner at a major Baltimore law firm, specializing in securities related litigation, arbitration, and regulatory matters. He later worked for seventeen years at Legg Mason in a variety of roles, including legal, compliance, operations, sales, service, marketing, and corporate governance. He has also served on the Board of Governors and Executive Committee of the Investment Adviser Association. He holds a Bachelor of Arts degree, *summa cum laude*, from Loyola University Maryland and a law degree, *cum laude*, from The University of Pennsylvania.

Program and Dinner \$70/person Attendance is limited to 120 people

Deadline for Reservations: November 26, 2014